



FAX

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To:- Examiner BJ Forman Ph.D. USPTO	From: Alan Sherr
Fax: 703-746-5012	Pages: 13
Phone: 703-306-5878	Date: 3/17/2003
Re: Patent Application Ser. No. 09/682,837	CC:

☐ **Urgent** ☐ **For Review** ☐ **Please Comment** ☐ **Please Reply** ☐ **Please Recycle**

• **Comments:**

Attorney Docket No. 3371.1
Filing Date: 10/23/01
First Named Inventor: David Stern
Title: Apparatus and Method for Scanning Multiple Arrays of Biological Probes

Certificate of Transmission Under 1.6(d) and 1.8(a)

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on March 17, 2003.

Gilda T. Carr

Gilda T. Carr

Typed or printed name of person signing Certificate

Below are listed all documents attached hereto:

Fee Transmittal Form for Processing Fee Due Under CFR 1.17(i)
Supplemental Amendment
Petition to Correct Inventorship Under 1.48(a)(1)
Statement of Additional Inventor under 1.48(a)(2)
Declaration Signed by All Inventors
Statement Under 1.48(a)(5) by Assignee, Affymetrix, Inc.

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Approved for use through 04/30/2003. UMB 0501-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

Complete if Known

Application Number 09/662,837
Filing Date 10/23/01
First Named Inventor David Stern
Examiner Name BJ Forman
Group / Art Unit 1634
Attorney Docket No. 3371.1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number

01-0431

Deposit
Account
Name

Affymatrix, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	180	2005	90	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES

Total Claims	-20 **	=	0	X	Fee from below	=	0	Fee Paid
Independent Claims	-3 **	=	0	X		=	0	
Multiple Dependent				X		=	0	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue Independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1480	130	1480	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (g)	
1806	180	1806	180	Submission of Information Disclosure Sheet	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	760	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1902	900	1802	500	Request for expedited examination of a design application	

Other fee (specify) Processing Fee Due Under CFR 1.17(i)

130

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 130)

SUBMITTED BY

Name (Print/Type)	Alan B. Sherr	Registration No. Attorney/Agent	42,147	Telephone	781-280-1712
Signature	<i>Alan B. Sherr</i>			Date	March 17, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2058.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231. If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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PATENT
Attorney Docket 3371.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Stern
Serial No.: 09/682,837
Filed: 10/23/01

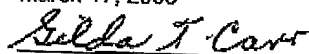
Examiner: BJ Forman
Art Unit: 1634

Title: Apparatus and Method for Scanning Multiple Arrays of Biological Probes

CERTIFICATE OF MAILING UNDER 37 CFR §1.6 (d)

The undersigned hereby certifies that this document is being transmitted by facsimile to the attention of Examiner BJ Forman at 703-746-5012 on the following date:

March 17, 2003


Gilda T. Carr

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL AMENDMENT

In response to the Office Action mailed September 17, 2002, further to the Amendment filed in this matter on January 17, 2003, and in consideration of an interview between Examiner B. J. Forman and Applicants' attorney conducted by telephone on March 3, 2003, please consider the following remarks:

In the Specification:

Please replace the entire first paragraph under the heading "Related Applications" beginning "The present application claims priority from" and ending "for all purposes", as amended in the Amendment filed January 17, 2003, with the following paragraph: —

The present application claims priority from U.S. Provisional Patent Application Serial No. 60/242,975 filed October 24, 2000, hereby incorporated by reference herein in its entirety for all purposes. The present application is also a continuation of U.S. Application Serial No.